

## Identification form - Trusts & Trustees

**Please complete this form if you are a new investor with Tyndall or if you are a financial adviser verifying your client who is a new investor. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.**

- Complete the relevant sections of this identification form, according to the type of trust as set out below, in block letters and using a black pen.
- Make copies of your ID document(s) as applicable and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
- Include this identification form and certified copies of the ID documents with your initial application form when you send it to us.

Type of Trust	Sections to complete
Australian and Foreign registered trusts with individuals as trustees	Sections 1 and 2. Section 6 for self-managed super funds. Registered trusts (excluding self-managed super funds) do not need to provide ID documents.
Australian and Foreign registered trusts with a public company as trustee	Sections 1 and 3. Registered trusts do not need to provide ID documents.
Australian registered trusts with a private company as trustee	Sections 1, 3 and 4.
Foreign registered trusts with a private company as trustee	Sections 1, 3, 4 and 7.
Australian unregistered trusts with individuals as trustees	Sections 1, 2, 5 and 6.
Australian unregistered trusts with companies as trustees	Sections 1, 3, 4 and 5.
Foreign unregistered trusts with individuals as trustees	Sections 1, 2, 5 and 6.
Foreign unregistered trusts with companies as trustees	Sections 1, 3, 4, 5 and 7.

### Financial adviser verifying your client

- Complete the relevant sections of this identification form, according to the type of trust as set out above, in block letters and using a black pen.
- Either:
  - Attach the certified copies of the ID documents used to verify your client (and any required translation)
  - Complete section 8 indicating that you have collected and verified your client's identity.
- Include this identification form and certified copies of ID documents (if applicable) with the initial application form when you send it to us.

### Legal notices

Tyndall Asset Management Limited AFSL No. 229664 is the issuer of units in the SIM Enhanced Cash Trust, SIM Australian Fixed Interest Trust, SIM Australian Equities Trust, SIM Property Securities Trust, SIM World Fixed Interest Trust, SIM Mortgage Backed Trust, SIM Imputation Trust, SIM Wholesale Australian Equities Fund, SIM Wholesale Australian Fixed Interest Fund, SIM Wholesale Conservative Fund, SIM Wholesale Growth Fund, SIM Wholesale Imputation Fund, SIM Wholesale Property Securities Fund, SIM Wholesale International Equities Fund, SIM Wholesale Balanced Fund and SIM Global Macro Trust (together Funds).

Personal information is collected on this form by Tyndall including their delegates, such as Computershare Fund Services Pty Limited, (together us), for the purposes of maintaining the register of unitholders, facilitating distribution payments and other unitholder communications required or permitted by the Corporations Act 2001 or other legislation. Your personal information may be disclosed to external service companies such as print or mail service providers, to Tyndall or to the Funds' administrator, or as otherwise required or permitted by law. If you would like details of your personal information held by us, or you would like to correct information that is inaccurate, incorrect or out of date, please contact Tyndall. In accordance with the Corporations Act 2001, you may be sent material (including marketing material) approved by Tyndall in addition to general corporate communications.



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## Beneficiary 3

Title  Full given names

Surname

## Beneficiary 4

Title  Full given names

Surname

If there are more beneficiaries, please provide details on a separate sheet and attach this with your form.

## 2. Individuals trustee details

Please provide details for each individual trustee.

### Trustee 1

Title  Full given names

Surname

Date of Birth (dd/mm/yyyy)

**Residential address.** A PO Box/RMB/Locked Bag is not acceptable.  
Property name/building name (if applicable)

Unit  Street number  Street name

Suburb  State  Postcode

Country

### Trustee 2

Title  Full given names

Surname

Date of Birth (dd/mm/yyyy)

**Residential address.** A PO Box/RMB/Locked Bag is not acceptable.  
Property name/building name (if applicable)











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Tick ✓	Select ONE option from this section.
<input type="checkbox"/>	A certified copy or certified extract of the trust deed. The certified extract must confirm the name of the trust.
<input type="checkbox"/>	A notice issued to the trust by a regulatory authority (must not be more than 12 months old).
<input type="checkbox"/>	A letter from a solicitor or qualified accountant that confirms the name of the trust.

Documents written in a language other than English must be accompanied by an English translation prepared by an accredited translator.

### 6. Verification procedure - individuals as trustees

#### Verification of individual trustees for self-managed super funds and unregistered Australian trusts

Please enclose a certified copy of ONE of the following current documents for one of the individual trustees of the trust.

Tick ✓	Select ONE option from this section.
<input type="checkbox"/>	Driver's licence (both front and back).
<input type="checkbox"/>	Passport (only pages containing your photo, current date of passport and signature are required).
<input type="checkbox"/>	Any identification card issued under a state or territory law in Australia or by an overseas government which contains your photo, date of birth and signature.

#### Verification of individual trustees for unregistered Foreign trusts

Please enclose a certified copy of ONE of the following current documents for one of the individual trustees of the trust. The certified copy of the driver's licence or passport must show the individual's signature. If it does not, please have the trustee sign their name on the certified copy of the document.

Tick ✓	Select ONE option from this section.
<input type="checkbox"/>	Driver's licence (must show the photo, date of birth, address and signature).
<input type="checkbox"/>	Passport (only pages showing the photo, current date of passport, date of birth and signature are required).

### 7. Verification procedure - companies as trustees

#### Verification of a Foreign company

Please enclose a certified copy of the following documents.

Tick ✓	Enclose a certified copy of the following current documents.
<input type="checkbox"/>	The Foreign Company's certificate or registration (or equivalent) issued by the relevant foreign registration/regulatory body indicating all of the following: (i) The company's identification/registration number (ii) The date it was incorporated or formed, AND (iii) The company's registered address.

**Note:** If the certificate of registration does not indicate any of the above details, enclose certified copies of other documents issued by independent third parties indicating the above details in addition to enclosing the certificate of registration.

### 8. Verification procedure - Financial advisers

#### Record of verification procedure

Either:

- Attach certified copies of the ID documents (as required under sections 5, 6 and/or 7) used to verify your client (and any required translation);  
OR
- Complete the details below and do not attach copies of the ID documents. By completing this you represent that you have verified your client's trust and trustee details according to the verification procedures in sections 5, 6 and/or 7.



# Identification form - Trusts & Trustees

ID document details	Document 1
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document name/type	<input type="text"/>
Document issuer	<input type="text"/>
Issue date	<input type="text"/> D D M M Y Y Y Y
Expiry date	<input type="text"/> D D M M Y Y Y Y
Document number	<input type="text"/>
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted

ID document details	Document 2
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document name/type	<input type="text"/>
Document issuer	<input type="text"/>
Issue date	<input type="text"/> D D M M Y Y Y Y
Expiry date	<input type="text"/> D D M M Y Y Y Y
Document number	<input type="text"/>
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted

## Financial adviser details

Date verified  D D M M Y Y Y Y

Authorised Representative Number (if any)

Financial adviser name

Phone number

Dealer group name

AFSL number

